UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF NEW YORK

XU-SHEN ZHOU, a/k/a JASON ZHOU,

Plaintiff,

-VS-

STATE UNIVERSITY OF NEW YORK INSTITUTE OF TECHNOLOGY, DRS. LISA BERNARDINO, STEPHEN HAVLOVIC, WILLIAM LANGDON AND PETER SPINA, personally and in their official capacities,

Defendants.

Examination Before Trial of

STEPHEN J. HAVLOVIC, Ph.D., held at

State University of New York

Institute of Technology, Utica,

New York, on May 11, 2010 before

NORA B. LAMICA, Court Reporter and

Notary Public in and for the State of

New York



Jefferson Clinton Commons - 211 W. Jefferson St. - Suite 21 Syracuse, New York 13202

> 315.428.9311 800.515.DEPO www.actionreporting.com

Examination of Stephen Havlovic, Ph.D.

APPEARANCES:

For the Plaintiff:

XU-SHEN ZHOU a/k/a JASON ZHOU, PRO SE 304 Richardson Avenue Utica, New York 13502

For the Defendant:

STATE OF NEW YORK
OFFICE OF THE ATTORNEY GENERAL
ANDREW M. CUOMO
The Capitol
Albany, New York 12224-0341
BY: DOUGLAS J. GOGLIA, ESQ.
Assistant Attorney General - Litigation Bureau

ALSO PRESENT:

Anthony F. Panebianco Associate Vice President for Human Resources SUNY Institute of Technology P.O. Box 3050 Utica, New York 13504-3050 2

	Examination of Stephen Havlovic,	Ph.D.	3
	INDEX		
	EXAMINATION		
	Page STEPHEN J. HAVLOVIC, Ph.D.		
	Examination by Mr. Zhou	23	
	EXHIBITS		
No.	Description	Page	
1	Notice of deposition	9	
2	E-mail to Xu-Shen Zhou from Stephen Havlovic dated 7/3/2007	42	
3	E-mail to Stephen Havlovic from Xu-Shen Zhou dated 7/24/2007	52	
4	E-mail to Anthony Panebianco from Stephen Havlovic dated 11/27/2007	113	
5	Letter to members of college-wide personnel committee dated 11/16/2007, two pages	123	
6	Memo to Dr. Rosemary Mullick from Stephen Havlovic dated 11/15/2006 regarding renewal recommendation for Dr. Maureen Smith-Gaffney	149	

	Examination of Stephen Havlovic,	Ph.D.	4
7	IDEA evaluation, course 0386, fall 2005/2006	174	
8	IDEA evaluation, course 0385, fall 2005/2006	174	
9	IDEA evaluation, course 0386, spring 2005/2006	175	
1.0	IDEA evaluation, course 0385, spring 2005/2006	175	
11	Online evaluation summary, spring 2007, ACC201, two pages	189	
12	Memo to Dr. Rosemary Mullick from Stephen Havlovic dated 11/15/2006 regarding renewal recommendation for Dr. Jason Zhou	208	
13	Statistics for graduate course	222	
14	IDEA survey, Finance 0302, fall 2005/2006	227	
15	Academic portfolio summary, Jason Zhou	230	
16	Memo, M. Pittarelli to Rosemary Mullick dated 11/21/2006 regarding personnel action	267	
17	Memo to college-wide personnel committee from School of Business peer review committee dated 11/15/2006 regarding continuing appointment for Jason Zhou	273	
18	Memo to fwll@sunyit.edu from Stephen Havlovic dated 3/1/2006 regarding finance class, two pages	283	

1	Examination of Stephen Havlovic, Ph.D. 5
2	
3	
4	
5	IT IS HEREBY STIPULATED by and between
6	counsel for the respective parties that this
7	Deposition is to be held pursuant to the
8	provisions of the Civil Practice Law and
9	Rules; that the presence of a Referee is
10	waived; that the signing of the minutes is NOT
11	waived; the filing of the transcript is
12	waived; that the witness may be sworn by
13	NORA B. LAMICA, Notary Public in and for the
14	State of New York; and that all objections,
15	except those as to form, are reserved until
16	the time of the trial.
17	
18	* *
19	
20	
21	
22	
23 .	
24	
25	

l .	
1.	Examination of Stephen Havlovic, Ph.D. 101
2	idea what you're asking.
3	A. I received verbal comments, and I had received
4	written comments, and I was concerned about quantitative
5	evaluations. I had concerns about your teaching.
6	Q. Now, when was the time, the first time, you
7	decided to give me reassignment, to remove me from
8	teaching?
9	MR. GOGLIA: Objection. Vague and
10	ambiguous. Are you talking about
11	Q. Have you had any thoughts to remove me from
12	teaching to give me reassignment?
13	A. That was in your final semester.
14	Q. So there's no time before that final semester
15	that you had any thoughts to remove me from teaching; is
16	that correct?
17	MR. GOGLIA: Objection. Assumes facts in
18	dispute in this action, and you're
19	mischaracterizing the witness's prior
20	testimony. He didn't say that. He talked
21	about
22	MR. ZHOU: That's what I'm asking for, to
23	be clear. Okay? That's why I'm asking
24	question again.
25	MR. GOGLIA: Let's see what your what

l		· · · · · · · · · · · · · · · · · · ·
	1.	Examination of Stephen Havlovic, Ph.D. 102
	2	is your question, then, because your question
	3	was insinuating
	4	MR. ZHOU: Stop this. Don't waste the
	5	time.
	6	MR. GOGLIA: nothing happened until
	7	the final semester, that that thought never
	8	crossed his mind until the final semester.
	9	And frankly, I don't know if that's true or
	10	not.
	11	MR. ZHOU: You give the speaking
	12	objection, okay, multiple times now. Give me
	13	the answer.
	14	A. Can you repeat the question, because I'm not
	15	sure what the question is, at this point?
	16	Q. You never had any thoughts to remove me from
•	17	teaching until the last semester; is that correct?
	18	A. I never considered removing you as an
	19	instructor from the classroom during a semester, until
	20	your final semester here at SUNY-IT.
	21	Q. Okay. So the only thoughts you had to give me
	22	reassignment occurred in the last semester?
	23	A. Correct.
	24	Q. What do you think the factors that contribute
	25	to my decision to file this lawsuit?

180 Examination of Stephen Havlovic, Ph.D. 1 Exactly. You looked at not three semesters, Q. 2 just two semesters evaluation forms? 3 She was in her third semester, I believe. 4 Α. Third semester, but didn't get third semester Q. 5 evaluation yet? 6 I didn't have evaluations yet, Dr. Zhou, but 7 Α. people come and complained. I had three semesters. 8 was in the third semester. 9 Okay. 10 Q. Please don't put words in my mouth. Α. 11 No, I'm talking about this IDEA form. 12 Q. You might think that, but unless you Α. 13 specifically tell me that and you pulled up the record, 14 that was in her third semester. 15 Okay. Now, when you look at this, Exhibit 7, 16 in your evaluation, did you consider her years of 17 teaching experience? 18 I was concerned about her teaching here. 19 Α. So you never considered about how many years? 20 Q. That was a decision at the point of hire. 21 A. When somebody's hired, they see how they teach here and 22 the development they're doing here. 23 Just regarding whether she taught before? 24 Q. 25 A. Doesn't matter. They're not SUNY-IT students.

```
181
              Examination of Stephen Havlovic, Ph.D.
1
    That was someplace else.
2
              Okay. So when you evaluate teaching
3
    performance, you disregard professors at prior
    teaching --
5
               I didn't say I disregarded anything. I said I
         Α.
6
    look at their current teaching that was done here.
7
               So you -- yeah. My question is, did you
         Q.
8
    disregard?
9
               I did not disregard anything. I looked at the
10
    teaching she had done here.
11
                    MR. GOGLIA: Mr. Zhou, you got your
12
               answer. Anything more -- I mean, you can't
13
               keep on asking your questions because you
14
               don't like the answer you get. You got your
15
                answer. Anything further is asked and
16
               answered, and it's improper. So move on. You
17
                got your answer. Now it's --
18
                     MR. ZHOU: I need an answer. I need an
19
                answer.
20
                     MR. GOGLIA: He gave you the answer, and
21
                he's given it to you, excuse my language,
22
                three times now.
23
                     MR. ZHOU: I want "yes" or "no" answer.
24
Q. Did you consider prior teaching experience in
```

1	Examination of Stephen Havlovic, Ph.D. 182
2	your evaluation
3	MR. GOGLIA: Mr. Zhou, he gave you an
4	answer, and you're not entitled
5	Q "yes" or "no"?
6	MR. GOGLIA: to a "yes" or "no"
7	answer. He gave it to you, and he gave you a
8	proper answer. That's it.
9	MR. ZHOU: If I don't understand, I can
10	ask him. The same thing
11	MR. GOGLIA: Mr. Zhou, you know what,
12	there's a language barrier. I understand
13	we're having some difficulties because there's
14	some problems asking questions. That being
15	said, you know quite well that you've asked
16	the question three or four times now. You've
17	gotten identical answers three or four times
18	now, and you keep on asking the same question.
19	And frankly, you're not even asking it in
20	different terms. You're asking the same,
21	exact question. That's improper. Move on.
22	MR. ZHOU: That's because he didn't give
23	me an answer.
24	MR. GOGLIA: No. He didn't give you the
. 25.	answer that you would like. That's different

. .1 . .

Examination of Stephen Havlovic, Ph.D. 233 1 Now, you said this is other schools rating? 2 Q. In the second paragraph that you referenced to 3 Α. me, it says, "I normally receive high evaluations in my 4 previous schools." I told you earlier in my deposition, 5 that when I'm evaluating instruction, that I don't 6 consider people's teaching elsewhere. I consider what 7 they did here at SUNY-IT since they were hired. 8 Yeah. What I wrote here, 4.2, is the rating 9 the first semester at SUNY-IT. 10 I understand that. Α. 11 MR. GOGLIA: Mr. Zhou, just to be clear, 12 this is a deposition. This is not your 13 opportunity to argue your case against 14 Dr. Havlovic. So I would suggest you ask your 15 questions. We've already been here most of 16 the day. It's four o'clock now. You have 17 about an hour left, an hour and a half left at 18 max. So why don't you make use of that time 19 to ask questions and get to the gravamen of 20 the case, as opposed to this nonsense of you 21 trying to prove your case and trying to 22 convince Dr. Havlovic that his decision was 23 wrong. That's just argumentative and 24 .25improper....

Examination of Stephen Havlovic, Ph.D. 249 1 In third semester, when you get complaints, Q. 2 what did you do? 3 MR. GOGLIA: With respect to what? 4 To complaints you got. Q. Most of --Α. 6 What did you do after you got complaints in Q. 7 the third semester? 8 To be honest with you, it's difficult for me, 9 at this point in time, to separate out where the second 10 began and the third began and the fourth. Other than, 11 I'm pretty sure, in the fourth, is when the written 12 petition came in. But I do know that most of the time 13 when I got these, I asked the students to talk to the 14 department chair, and after I asked them if they'd 15 already talked to you, the instructor. So I asked them 16 to discuss this with you, and if they said they had 17 tried, or they had and it didn't work, I asked them to 18 talk to the department chair. And I'll say what I said 19 earlier, there was a number of times when you and I 20 spoke, where I was encouraging you to go to a teaching 21 seminar for finance, and that the School of Business 22 would pay for this, and that would not be part of your 23 faculty development allowance, that we would pay this 24 25 separately. So in some cases, the students were directed

Examination of Stephen Havlovic, Ph.D. 250 1 If they had already gone to you, they were to go to you. 2 directed to the chair. And when these things came in 3 volume, I would have a conversation with you about trying 4 to improve, and I kept offering to send you to a seminar. 5 So when you received complaints, you asked the Q. 6 students to go me; is that correct? 7 MR. GOGLIA: Asked and answered. He just 8 answered that at times, he suggested they 9 speak to you. You keep on asking the same 10 stuff over and over again. You've got to move 11 forward, Mr. Zhou. We're running out of time 12 here. 13 So every student that complained to you, you Q. 14 asked them to come to speak to me; is that correct? 15 MR. GOGLIA: Objection. 16 mischaracterizing his testimony. That's not 17 what the witness said. 18 If a student came in and said that they Α. 19 already had spoken with you, I wouldn't ask that 20 question, because they already told me. If a student 21 came in and said that they were struggling in the course 22 or whatever, I would ask if they had spoken with the 23 instructor about this. That's a consistent thing that I 24 do when students come in. I think it's important that 25

```
Examination of Stephen Havlovic, Ph.D.
                                                           251
1
    they talk to the instructor and try to resolve these
2
    things, and if they can't resolve it with the instructor,
3
    I have them go to the chair or coordinator, and that
    would have been Dr. Langdon. He was over finance.
5
               Did you know, in the third semester, if any
         Q.
6
    student talked to me regarding their complaints, they
7
    come back to you or did you know any happened?
8
                     MR. GOGLIA: Objection. Vague and
9
                ambiguous, compound, and I have no idea what
10
                you said.
11
                Did they come back to you after they talked to
          Q.
12
     me?
13
                I had a number of students that came back
          Α.
14
     multiple times. Whether it was in the third semester,
15
     second semester, fourth semester, I don't know, but I had
16
     people that were frustrated after they talked to you,
17
     talked to the chair. I had people requesting to get
1.8
     their money back. I had people requesting to take the
19
     course from another instructor. I had lots of repeat
20
     complaints from the same individuals.
21
                 But you don't know whether this was in third
22
     semester or the second semester?
23
                      MR. GOGLIA: Objection.
24
         Q. Is that correct?
25
```

Examination of Stephen Havlovic, Ph.D. 287 1 Friday and another group the next week, but there needs 2 to be due process, and Dr. Langdon -- he didn't talk to 3 you a lot. He needed to find out what was going on, and 4 a lot of times, I'll delegate this to coordinators to 5 have -- to make sure the students talk to the professor б to find out what the situation is. In this case, the 7 student indicated that they had already gone to the 8. learning center director, and I wanted to find out who 9 Dr. Langdon -- what was happening with the learning 10 center, as well. So I don't know when we spoke about it, 11 but we would have spoken about it. 12 Let me understand you correctly. You 13 Q. notified, but there may be other days when you may have 14 talked to Langdon about this e-mail; is that correct? 15 Other days, in addition to other things, you may have 16 talked to Langdon about this e-mail? 17 MR. GOGLIA: Asked and answered. He just 18 gave that full testimony. You can understand 19 that testimony by reading --20 "Yes" or "no"? Q. 21 There was so many complaints, Dr. Zhou, it's A. 22 difficult to sort through when I talked to Dr. Langdon. 23 As the accounting and finance coordinator, we spoke 24 25 regularly regarding students complaining about your